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**POWER GRID COMMUNICATIONS: INTEGRATED SIMULATION FOR
DESIGNING SMART GRID APPLICATIONS¹**

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POWER GRID COMMUNICATIONS: INTEGRATED SIMULATION FOR DESIGNING SMART GRID APPLICATIONS

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It is generally recognized that a high-bandwidth and highly available networked communication system should overlay the transmission system topology to enable the control and protection envisaged today to make the grid more efficient and more reliable. However, the specifications for such a communication system have been difficult to develop because it needs to support a great variety of applications, many of which are still to be developed. Organizations such as the North American Synchrophasor Initiative (NASPI) are trying to build this vision of a communication system that can utilize phasor measurement data to initiate fast controllers including FACTS devices.

A major hurdle in developing such fast, wide-area controls has been the lack of design tools available to do so. In particular, the development of controls that depend on communications to carry the input/output signals and complex software to process these signals, requires tools to simulate and analyze such controls. Design tools must integrate the dynamic behavior of the power system with the response of the communication/computation system in order to accurately portray the behavior of such controls.

We describe here a simulator – GridSim – that can simulate in real time the electromechanical dynamic behavior of the power grid together with the IT infrastructure that overlays the grid along with control systems taking advantage of that IT infrastructure. The main purpose of this simulator is for designing and testing new wide-area control and protection schemes. GridSim is able to represent a large portion of a grid and runs in real time so that various components running at different sampling rates can be tested together.

Background

Use of time-synchronized, high-data-rate sensor technology is widely viewed as a critical enabler for increasing the reliability of the power grid while allowing integration of many more stochastically variable renewable energy sources such as solar and wind. For example, deployment of Phasor Measurement Units (PMUs) is becoming more commonplace. PMUs are capable of sampling frequency, voltage, and current, thousands of times per second, and outputting accurate, time stamped, measurements 30-120 or more times per second. It is difficult, however, for utilities to take full advantage of these devices due to lack of tools to design and evaluate control systems that exploit them. Furthermore, such control systems' behavior will also depend on the performance of the wide-area communications systems that connect the sensors, control logic, and actuators—wide-area communications systems whose design and specifications are themselves still evolving.

Simulation is historically one of the main tools used in design of power system controls. However, no existing simulation framework models, at the scale of the power grid, the combined behavior of the power system, the communications system that overlays it, and the control system that relies on the latter to monitor and control the former. GridSim is intended to address these issues by providing a very flexible simulation framework that incorporates power system simulation, data delivery, flexible sensor deployments, and the ability to incorporate actual power system components, protocols, and algorithms. Using actual power system artifacts is important for two reasons: first, it allows the actual artifacts to be tested in the simulation environment which is one way to increase confidence in a design; second, it allows existing artifacts such as Grid Protection Alliance's openPDC product and the GridStat communication framework to be used as building blocks for GridSim, speeding its implementation. From this decision comes another requirement: that GridSim operate in real time so as to properly interface with these artifacts.

Overall Design of GridSim

GridSim is a real-time end-to-end power grid simulation package designed using a default sample rate of 30 samples per second (per sensor). The goal of this project is to simulate power grid operation, control and communications at grid-wide scale (e.g. the western interconnection), in order to provide utilities the ability to explore new equipment and control system deployments. Possibilities include simulating large-scale phasor measurement unit (PMU) installation and power applications able to utilize the vast quantities of data generated in such a situation. With the objective of providing tools to simulate real world equipment usage, and the ability to be used in conjunction with readily available utility industry equipment, GridSim uses the IEEE Standard C37.118 data format for all streaming measurement data.

The GridSim platform consists of a number of components falling into four groups: *power system simulation*, *substation simulation*, *communication and data delivery*, and *control center applications* (see Figure 1). We first describe the overall relationship between these groups and then look at each of them in detail.

The power system simulation calculates the electromechanical dynamics in real time. Sensor data from the simulated power system are fed in C37.118 format to the substation simulation processes at 30 samples/second. In the substations, data are optionally processed by substation applications and published, along with outputs of the substation-level applications, to the data delivery component through simulated substation gateways. Delivery to control center applications and other substations occurs via the data delivery system. Note the design choice here: the wide-area data delivery system is not involved in connecting simulated sensors within the simulated substations where they are located. Although the substation level processing of the data is simulated the data communication within the substation is assumed to be negligible for the current goals of wide-area control design.

The data delivery component of GridSim is GridStat, a publish-subscribe, wide-area data delivery framework designed from the ground up to meet these emerging needs of electric power grids. Once data are published, the flexibility provided by the GridStat data delivery middleware allows subscribing applications to be easily integrated into the system without massive reconfiguration.

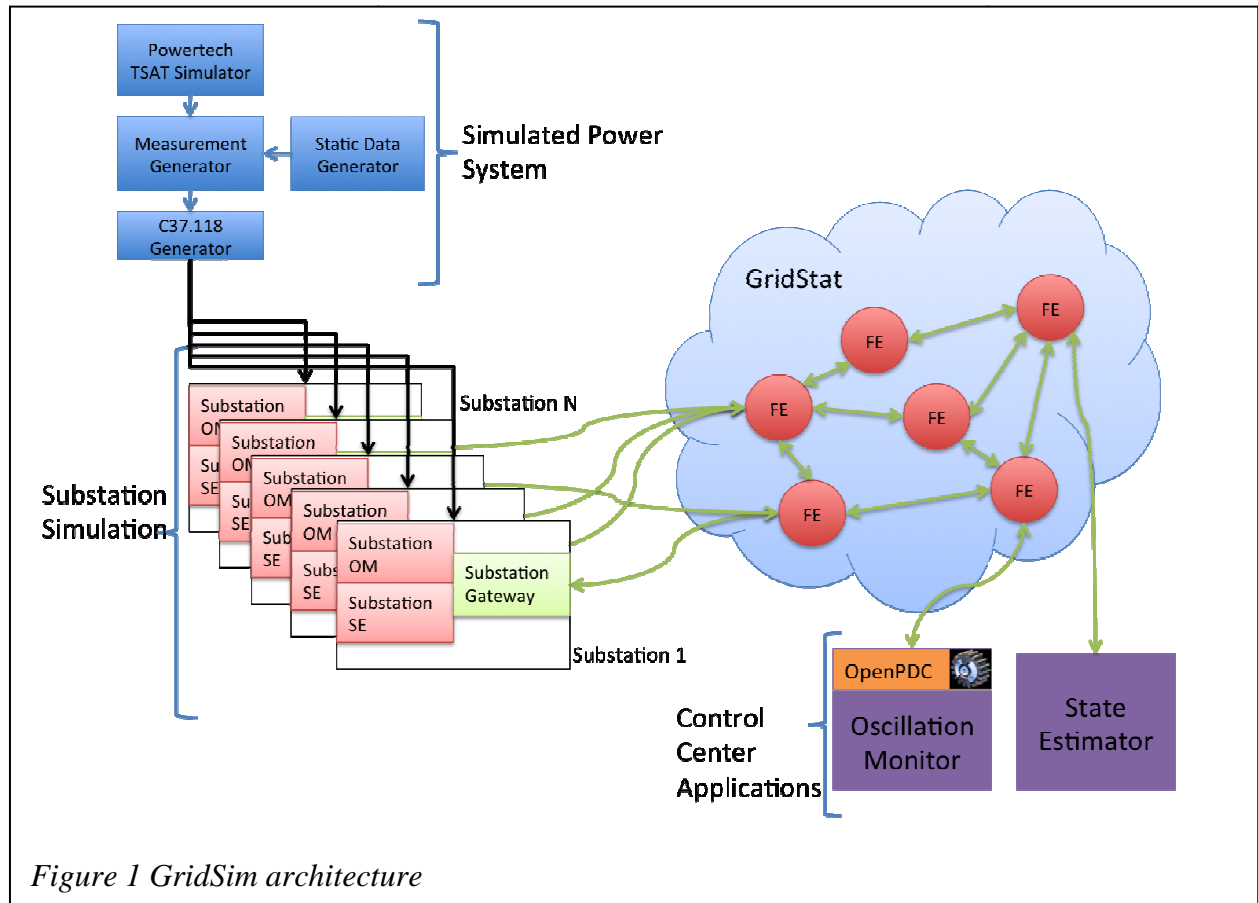


Figure 1 GridSim architecture

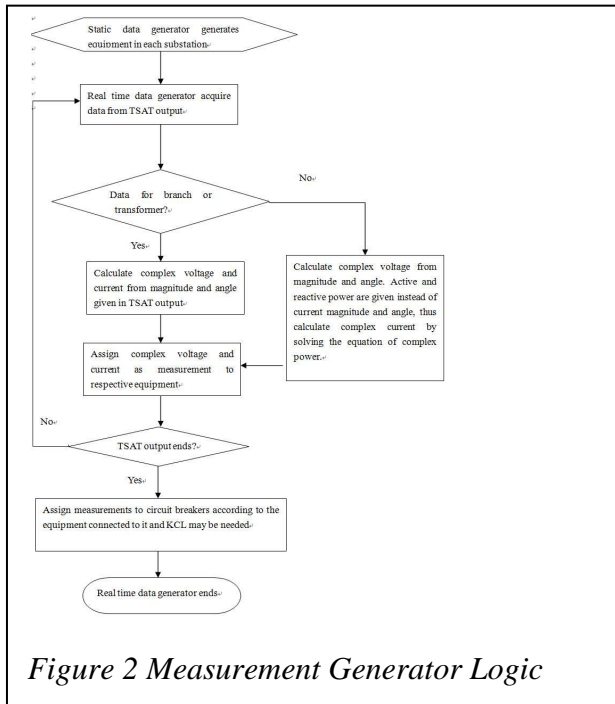
In the current GridSim implementation, published data are used by the two control center applications included in this project: the Hierarchical State Estimator, and the Oscillation and Damping Monitor.

Power System Simulation

Power system simulation in GridSim is provided by a modified version of TSAT, an industry-proven transient stability simulator produced by Powertech Labs, Inc. Unmodified TSAT accepts power system topologies, initial values, and dynamic simulation variables (such as faults, etc. at specific times) as inputs. Upon execution, the simulator loads the input values, then as quickly as possible computes the state of the system over time, and upon completion writes the results to a file.

An off-line transient stability simulation such as TSAT does not perfectly meet the needs of GridSim. To obtain real time performance, the simulator was modified so that simulation

time progresses no faster than wall-clock time by pausing after computing each set of measurements (30 sets/second) until the correct wall-clock time for that set to be published. To extract the measurement sets at the time they are produced by the simulation, certain TSAT functions are used. They directly implement simulated PMUs attached to particular points in the power system topology where they measure frequency, voltage, and current 30 times a second. These sensor data from the simulated PMUs are sent to the measurement generator for post-processing (see Figure 2).



Substation Simulation

The measurement generator also bridges the gap between the bus-branch power system model supported by TSAT and the more detailed bus-breaker model that represents the substations. To do this, GridSim created a static data generator to create tables that map the FromBus/ToBus/EquipmentID measurement identification information used in TSAT to unique CircuitBreaker/BusID/PMUID numbers used throughout the rest of GridSim. Data from the static data generator also allow the measurement generator to synthesize additional measurements, such as breaker currents, from the TSAT outputs. Noise and other real world attributes can optionally be added within the measurement generator. Once these operations have been performed, the PMU measurements are sent to a C37.118 encoder, and then to the substation simulation processes.

The substation simulation processes host substation-level power applications and substation gateways. Power applications perform computations – both the applications described later have substation-level processing –and submit results to the substation

gateway. Measurement generator output for each substation is also published to the Data Delivery component by the substation gateway.

Communication System and Data Delivery

Data delivery latency and loss rate are important factors in the performance of wide-area control and protection applications but the data delivery infrastructure that will ultimately support those applications is still evolving. In GridSim, data delivery services are implemented using GridStat, a wide-area data delivery framework designed from the ground up to meet the emerging data delivery needs of electric power grids. GridStat is a publish-subscribe middleware framework that has influenced the NASPInet effort led by NERC and DoE. Its design centers on the fact that sensor measurements are digitally represented as a periodic stream of data points. Working from this data model GridStat was designed to allow for efficient, wide-area, encrypted multicast delivery of data. GridStat as a component of GridSim is a realistic model for emerging power system data delivery services and at the same time provides a great deal of flexibility for configuring and evaluating potential wide-area control and protection applications.

GridStat is designed to meet the requirements of emerging control and protection applications that require data delivery latencies on the order of 10-20 msec over hundreds of miles with extremely high availability. The GridStat architecture consists of two communication planes: the data plane and the management plane (see Figure 3). The data plane is a collection of Forwarding Engines (FEs) designed to quickly route received messages on to the next FE or termination point. The FEs are entirely dedicated to delivering messages from publishers to subscribers. Routing configuration information is delivered to the FEs from the management plane. The forwarding latency through an FE implemented in software is on the order of 100 μ sec and with network processor hardware less than 10 μ sec. We believe that the performance of a custom hardware implementation of a FE could match or exceed that of a general purpose Internet router. Thus, in a typical wide-area configuration, GridStat would not add more than 1 msec over the speed of the underlying network, while providing quality-of-service (QoS) guarantees tailored to rate-based control and protection applications.

The management plane is a set of controllers called Quality of Service brokers (QoS brokers) that manage the FEs of the data plane. The QoS Brokers are organized in a hierarchy to reflect the natural hierarchy in power grids. When a subscriber wishes to receive data from a publisher it communicates with a QoS broker that designs a route for the data and delivers the routing information to the relevant FEs, creating the subscription. Since path computations are done out of band from data delivery, even heavy loads of new subscription creation do not adversely affect the performance of the data plane. Beyond this, QoS brokers have a privileged view of routing performance and the router graph which allows them to create optimal delivery paths. QoS brokers also implement policies for resource usage, cyber-security, aggregation, and adaptation.

Because the entire purpose of GridStat is the efficient delivery of data, it includes features providing configurable quality of service per subscription while attempting to minimize data delivery costs. A subscriber can request quality-oriented parameters such as data delivery rate, temporal redundancy of data packets, and spatial redundancy of data streams (delivery over multiple independent delivery paths, each of which meets the end-to-end delay requirements). The QoS brokers ensure that each subscriber gets the resources it needs while also preserving the needs of existing subscriptions. To conserve network resources, the management plane identifies any shared data paths between a publisher and two or more subscribers. If there is any overlap in these paths, the management plane ensures that data is only sent once for that leg of the journey before being duplicated at the split.

GridStat supports multicast delivery of a given sensor update stream whereby different subscribers can subscribe to a different rate yet no update message is ever sent over a network link more than once, and it is not forwarded on a link at all if not needed. FEs implement this via a mechanism called *rate filtering*: only forwarding an update on an outgoing link at the highest rate that any subscriber downstream via that link requires. Some kinds of data place additional restrictions on the rate filtering. GridStat's rate filtering algorithms are coordinated across multiple PMU streams in order to ensure that subscribers receive sets of updates from different PMUs taken at the same instant. For example, consider PMUs that send updates at a rate of 120 Hz. While such a high rate would be useful for a few application programs, many applications would not need such frequent updates. For an application subscribing to two different PMU streams at a rate of 20 Hz, five sixths of the updates will be dropped before reaching it. However, GridStat ensures that the same one sixth of the updates are delivered from the two PMUs, so they can be used as a global snapshot. This *synchronized rate filtering* is set up when subscriptions are being added and is based on timestamps in the updates, so it does not require any inter-FE coordination when updates are being delivered. Therefore, scalability is not harmed by this strong delivery property.

When used as the data delivery layer component of GridSim, GridStat allows for virtual substations to be created or reconfigured, and additional subscribers and power applications to be added with minimal changes. This contrasts starkly with the current situation in the power grid, where even minimal changes to the number of sources or consumers of data can require the data delivery system to be completely re-architected. Conversely, GridSim also allows for potential deployments of GridStat to be tested with real world volumes of data, and with different network and power system topologies.

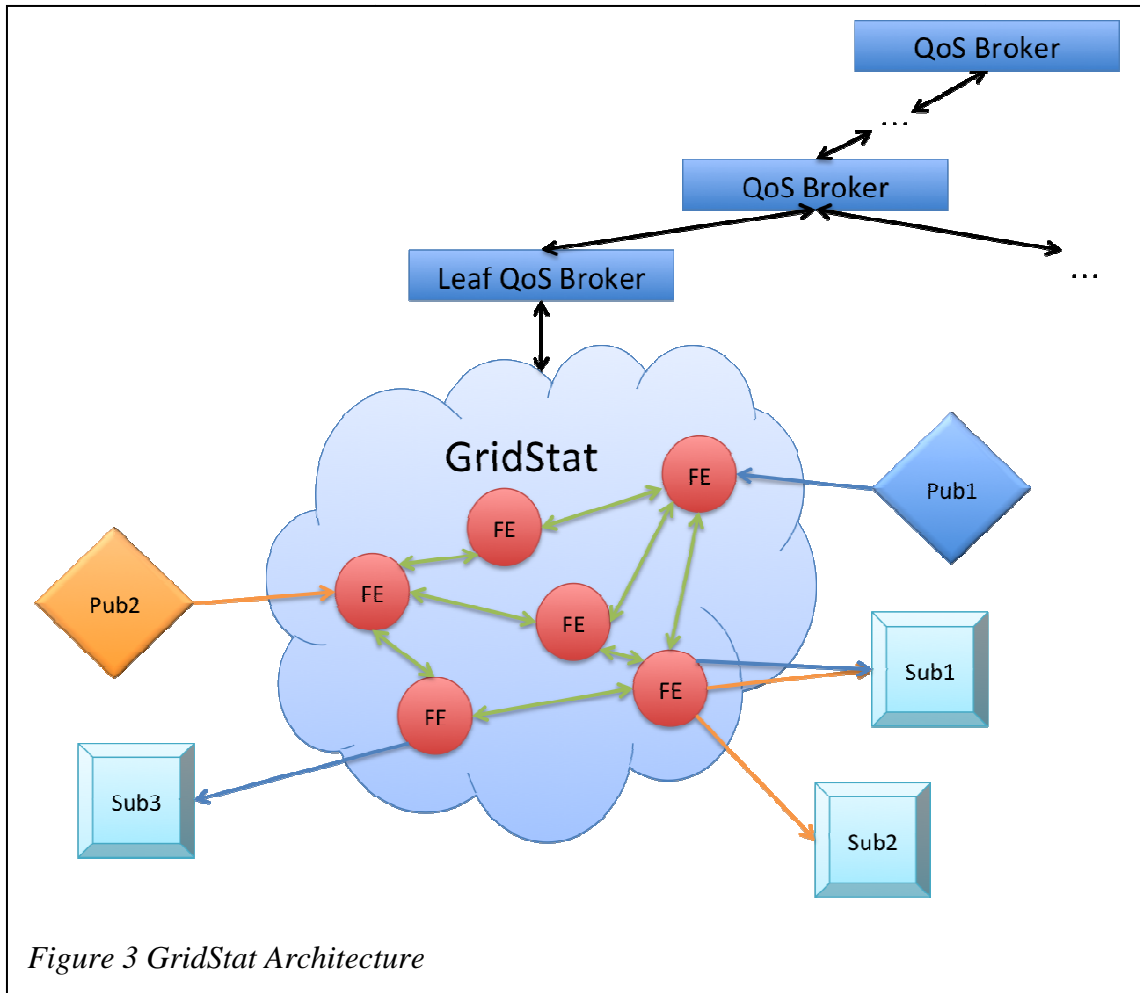


Figure 3 GridStat Architecture

Control Center Applications

Continuing the theme of using existing artifacts as components of the GridSim environment, we now describe two control center applications that have been incorporated in GridSim thus far.

One of the main objectives of GridSim is to allow experimentation with and testing of wide-area control and protection applications using PMU and other high-rate, time-stamped data streams. Thus far, two prototype applications are included in GridSim: a linear, hierarchical state estimator and an oscillation monitoring system.

Both applications are built using components of Grid Protection Alliance's openPDC product. Thus, one benefit of incorporating these applications in GridSim is that other openPDC-based applications can be easily brought into the GridSim environment. The openPDC is an open source software system that collects PMU measurements from multiple sources, aligns them according to their timestamps, and processes them with user-defined functions. The openPDC also provides numerous advanced functions, such as cyber security

and device management, that are necessary for industry use but, thus far, GridSim uses only the C37.118 protocol parser and the time-alignment functionality.

The openPDC contains three kinds of adapters: input adapters, action adapters and output adapters but GridSim uses only two in our applications. Input adapters read data and parse them. Although the openPDC provides many built-in input adapters which can read data from files, databases or the network, none of them support the publish/subscribe communication pattern used in GridSim, so new input adapters were developed supporting GridStat pub/sub. Action adapters receive time-aligned measurements and process them. In GridSim, all of the power system calculations, including substation and control center level state estimation, as well as oscillation detection, are implemented using custom action adapters. Therefore these new functions embedded in the openPDC are not only useful in the simulation environment, but also can be run in the real industry environment.

Since the openPDC is primarily designed and implemented for field usage, which has different technical requirements from GridSim, work was invested to adapt it for the simulation environment. For example, the openPDC provides a user interface to configure devices, phasors, and measurements. Since GridSim is intended to simulate a variety of systems that may change frequently, manual configuration is too cumbersome and error-prone so a program was created to read the power flow file for TSAT and configure the whole system automatically, saving a lot of effort and simplifying the integration between the openPDC and simulation software.

Oscillation Monitoring System

The Oscillation Monitoring System (OMS) application has been developed at Washington State University for real-time monitoring of problematic electromechanical oscillations using wide-area PMU measurements. OMS combines advanced signal processing algorithms with heuristic expert system rules to automatically extract the damping ratio, frequency, and mode shape of poorly-damped electromechanical oscillations in a power system from power system measurements. A prototype of OMS has been implemented as part of the Phasor Data Concentrator at Tennessee Valley Authority (TVA) since 2007. It is also currently being implemented at Entergy in conjunction with a smart-grid investment grant project.

In our GridSim project, OMS is being used as a real-time application example, both serving to illuminate what GridSim must provide in order to incorporate actual applications and demonstrating how executing an application with simulated real-time test data can help validate the application. The OMS engines are integrated into an action adapter module of the openPDC. Thus, OMS receives real-time simulated PMU data streams from TSAT, via the measurement generator and data delivery system, which are buffered onto internal signal processing engines of OMS. Results from OMS can be exported to a custom SQL database that can be visualized and set to trigger alerts or alarms whenever damping levels of oscillatory modes fall below pre-specified thresholds. The operator can take manual action to bring the damping back to acceptable levels.

Unlike the real power system where the actual modal characteristics of the system are unknown values, the modal properties of the test system in TSAT can be accurately determined from model-based small-signal stability analysis. Comparing the outputs of the OMS engines with respective model-based modal values is useful for testing and tuning the OMS engines for target power systems. Since GridSim includes communication models, such studies also reveal the effects of communication delays, loss of PMU channels, and network congestion on the resulting OMS modal estimates. We plan to use GridSim to test automatic control action by the OMS although such closed loop feedback will require some more modification of TSAT.

The OMS includes two engines as shown in the flowchart in Figure 4. The event analysis engine, shown on the right side of the flowchart, carries out an expert-system based Prony-type ringdown analysis of system responses following disturbances in the system. The objective for this engine is fast detection of sudden changes in damping of oscillatory modes from large disturbances in a power system so that mitigatory control actions can be initiated before the damping problems degenerate into widespread blackouts. Typical analysis uses five to ten seconds of PMU data at a time and the calculations are repeated over moving time-windows and over different PMU signal groups to ensure consistency of results. The event monitor engine can typically detect oscillatory problems by using about ten to fifteen seconds of PMU data from the instant when the oscillations begin to appear in a power system.

The complementary damping monitor engine, shown on the left side of the flowchart, estimates the damping, frequency, and mode shape of poorly-damped oscillatory modes from ambient PMU measurements. Unlike the event monitor engine which only works when the system is subject to disturbances, the damping monitor engine is applicable all the time. By using natural power system responses to routine random fluctuations from load variations and generation changes, the damping monitor engine continuously tracks damping levels and mode shapes of poorly-damped oscillatory modes. The damping monitor engine uses an extension of a frequency-domain algorithm called Frequency Domain Decomposition (FDD). This engine is aimed at preventive detection of poorly damped oscillations. The damping monitor engine uses about four minutes of PMU data in every computational run. As for the event monitor engine, the analysis is then repeated over moving time-windows and over different signal groups to verify the consistency of modal analysis results.

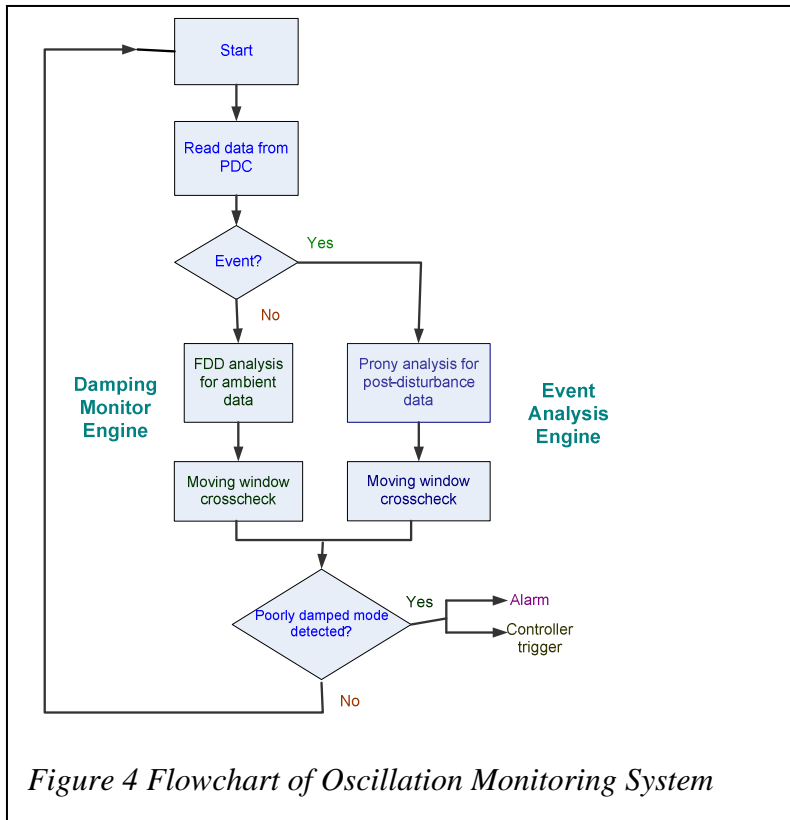
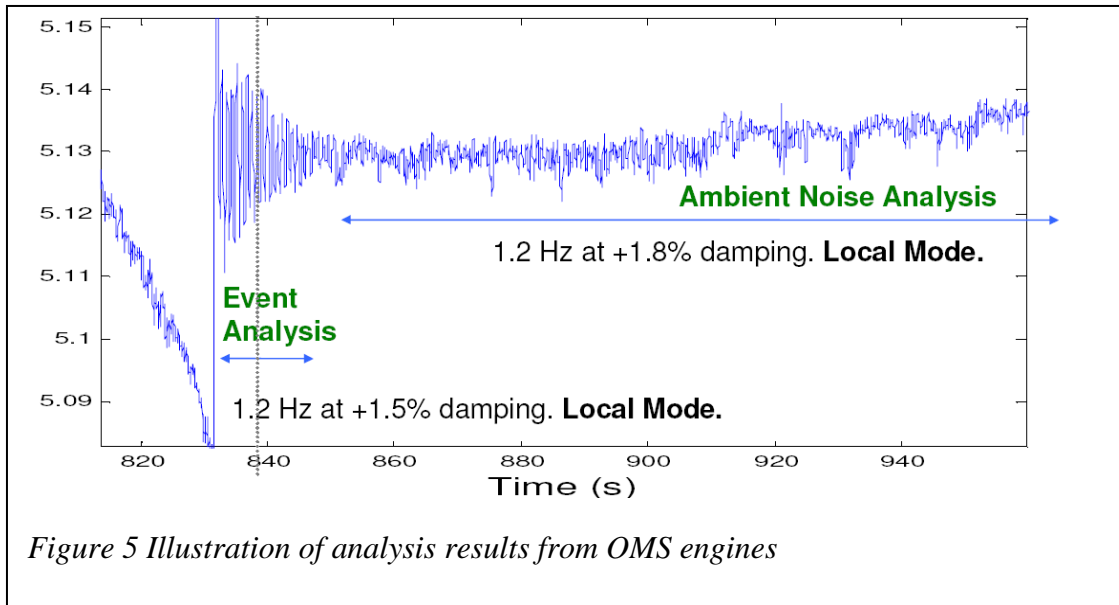


Figure 4 Flowchart of Oscillation Monitoring System

Figure 5 shows an example of the results from the two engines for a recent event near a major generating plant at TVA. The system encountered a routine event at about 830 seconds. The event analysis engine of OMS carried out moving time-window analysis of the PMU measurements using real-time Prony analysis and concluded at 838 seconds (vertical dotted line in Figure 9) the oscillation to be from a local (involving mainly one PMU or a few nearby PMUs) 1.2 Hz mode with +1.5% damping ratio. Subsequently, the damping monitor engine analyzed the real-time ambient PMU data and estimated the dominant oscillatory mode to be the same local mode at 1.2 Hz with damping ratio of +1.8%. Accordingly, the results of ringdown analysis and ambient noise analysis match well for this example. The two engines serve as complementary techniques for identifying the dominant poorly-damped oscillatory modes of a power system whenever such modes exist.



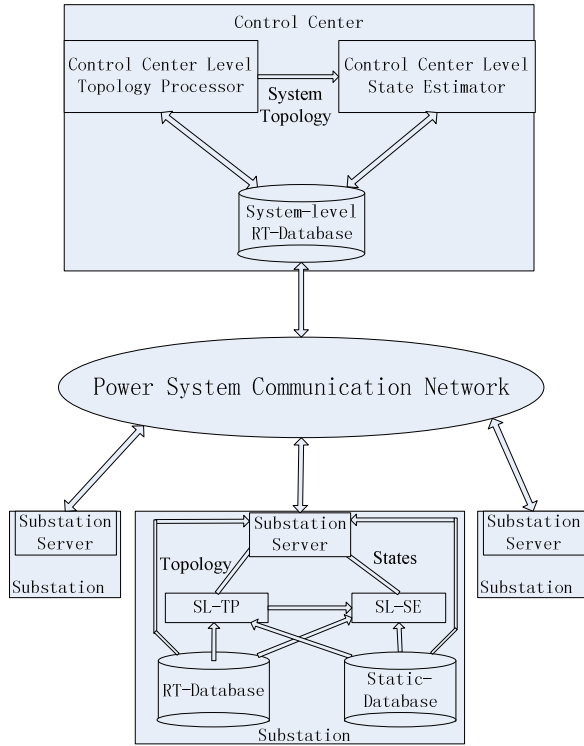
State Estimator

A two-level linear state estimator has been developed at Washington State University that is an excellent candidate application for testing in the GridSim environment. It is based on PMU data, requires algorithmic processing at the substation level, fast communications of the substation results to the control center, synchronization of the data at the control center, and finally calculating the state estimate (SE) for the whole system. The power system simulation produces PMU measurements 30 times per second and the final SE is also calculated at the same rate. Thus errors in the simulation, communication, synchronization, and SE calculation can all be checked in the testing of this application on GridSim.

The processing of this two-level SE is shown in Fig. 6 for both the substation level as well as the control center level. At each substation, the local PMU data is processed using linear estimation algorithms for both current and voltage phasor measurements. This processing has the advantage of estimating and eliminating errors from noise, bad analog data, and bad circuit breaker status data on a small set of measurements. The topology, current, and voltage estimates from each substation are then sent through the communication network to the control center. At the control center the data is synchronized for the same time stamp and the whole system states are linearly estimated.

Some results are shown in Fig. 7 for this test carried out on GridSim for an 11 substation power system. For a small system like this, the simulation and communication speeds were not issues, so the test was mainly to check the computation processes and data delivery. When the SE was running perfectly, the figure shows that the bus voltages (i) calculated by the TSAT simulation, (ii) generated by the PMU data generator, (iii) estimated at the substation level, and (iv) estimated at the control center, all compare quite well 30 times a second for about eight seconds after a fault on the system. However, many things can go

wrong, which is demonstrated in Fig. 8 by introducing some jitter in the data delivery between the substation and the communication level, thus producing erroneous SE results at the control center.



SL-TP: Substation Level Topology Processor
 SL-SE: Substation Level State Estimator
 RT: Real Time

Figure 6. Two-Level Linear State Estimator

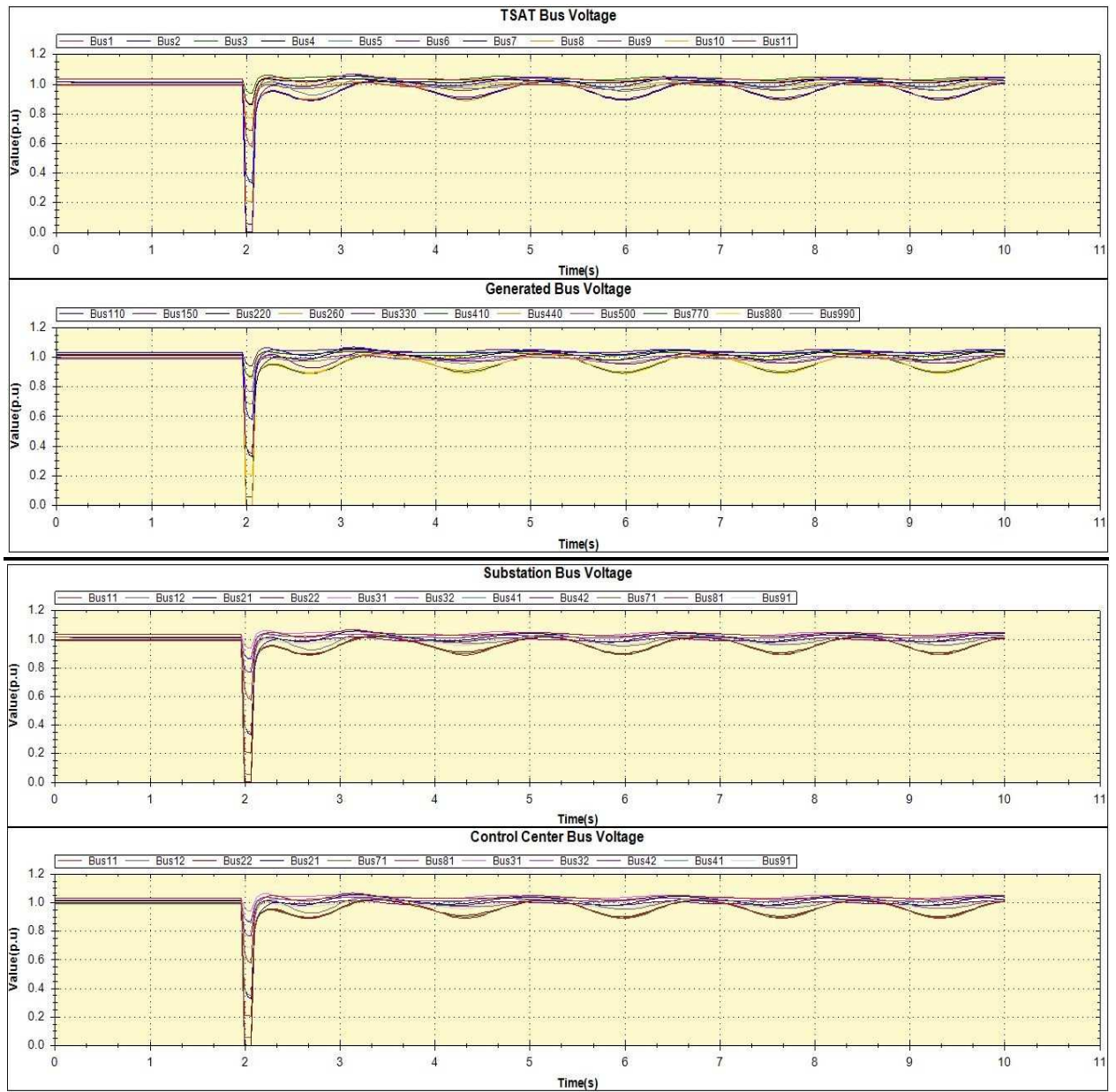


Figure 7. GridSim Results for 11-substation two-level linear state estimator

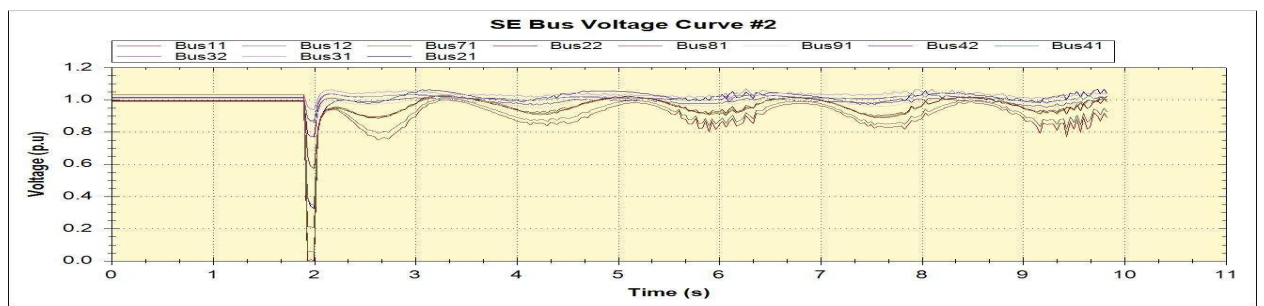


Figure 8. State estimator results with jitter in the communication system

Observations/Conclusions

A fast communication and computation system overlaying the power grid is a key enabler for applications taking advantage of PMUs and FACTS controllers to attain the smart grid of the future. However, the tools needed to develop and test these new applications do not exist today. We describe here such a tool – a simulation platform called GridSim – that can be used to develop and test wide-area control and protection schemes.

We have developed this platform to simulate the power grid in real time for electromechanical dynamics and to generate and stream PMU data in standard format. It also includes the ability to deliver measurements and processed data over a high-bandwidth networked communication system called GridStat. Finally, we have used GridSim to simulate and test two new applications – oscillation monitoring and linear state estimator – that are quite different from each other but utilize PMU streaming data in real time. We show that platforms like GridSim can successfully and rapidly prototype new ‘smart’ applications.

We should note that closed loop control is not illustrated in this article. Both the oscillation monitoring and linear state estimator are real time but open loop applications, which means that the outputs are used by the operator to initiate manual control if necessary. Closed loop control will be incorporated into GridSim and the significant changes needed in the power system simulator to accomplish this are being developed.

Acknowledgements

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For further information

<http://www.powertechlabs.com/software-modeling/dynamic-security-assessment-software/transient-security-assessment-tool>

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Author Biographies

David Anderson received his B.S. degree in computer science from Washington State University, Pullman, Washington, in 2009. In 2007, he joined the GridStat Research Group, at Washington State University, as an undergraduate researcher, and in 2009 became lead programmer and lab infrastructure manager.

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Vaithianathan "Mani" Venkatasubramanian (Member, IEEE) received his B.E.(Honours) Electrical and Electronics Engg. degree from Birla University of Technology and Science, Pilani, India. He got his M.S. and D.Sc. degrees in Systems Science and Mathematics from Washington University, St.Louis, MO. He is presently a Professor in School of Electrical Engineering and Computer Science at Washington State University, Pullman, WA. His research interests include power system stability and control and nonlinear system theory.

David E. Bakken (Senior Member, IEEE) received the B.S. degree from Washington State University (WSU), Pullman in 1985, and the M.S. and Ph.D. degrees from the University of Arizona, Tucson, in 1990 and 1994, respectively. He is currently an Associate Professor of Computer Science at WSU. He has worked for Boeing, BBN, and has consulted for Amazon.com, Harris Corp, Real Time Innovations, and others.

Anjan Bose (Fellow, IEEE) received the B.Tech. degree (with honors) from the Indian Institute of Technology, Kharagpur (1967), the M.S. degree from the University of California (1968), Berkeley, and the Ph.D. degree from Iowa State University, Ames (1974). He is currently a Regents Professor and holds the endowed Distinguished Professor in Power Engineering at Washington State University, Pullman, WA. He has worked for industry, academe, and government for 40 years in power system planning, operation and control. Dr. Bose is a member of the National Academy of Engineering and the recipient of the Herman Halperin Award and the Millennium Medal from the IEEE.